

东方日升公共政策及协会参与准则

Public Policy and Association Participation Guidelines

■ 东方日升承诺:

■ Our Commitment

以最高标准履行企业责任，在参与制定相关产业政策、加入产业工会、协会时，严格按照本准则执行，确保过程公开透明。本准则同样适用于东方日升及其全球子公司。

We uphold our social responsibility to the highest standards. We strictly adhere to guidelines when formulating industrial policies and joining trade unions and industrial associations, ensuring an open and transparent process. These guidelines are also applicable to our subsidiaries worldwide.

保持政治中立，不会直接或间接向参与政治活动的政党、组织和个人捐款。

We maintain political neutrality and do not make any direct or indirect contributions to political parties, organizations, or individuals involved in political activities.

不进行任何直接游说活动。

We refrain from engaging in any direct lobbying activities.

■ 治理组织与审核机制

■ Governance and Assessment Mechanism

● 治理组织:

● Governance Structure

为保障所有利益相关者的权益，董事会下设战略与可持续发展委员会，委员会负责制定公司参与公共政策及协会的计划。委员会下设战略可持续发展办公室负责具体任务的实施。

To protect the interests of all stakeholders, we have established the Strategic and Sustainable Development Committee under the Board of Directors. This committee is responsible for planning Risen Energy's participation in associations and formulating the company's public policies. Additionally, we have set up the Strategic and Sustainable Development Office under the committee, which is responsible for implementing these policies.

A. 战略可持续发展办公室

Strategic and Sustainable Development Office

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新申请工会、协会会员资格：战略可持续发展办公室需提交建议书，并获得战略与可持续发展委员会的批准。该建议书应详细说明拟加入的工会、协会在气候变化政策方面的立场。

New applications for trade unions and associations membership: The Strategic and Sustainable Development Office is responsible for submitting a proposal and obtaining approval from the Strategic and Sustainable Development Committee. The proposal must detail the positions of the trade unions or associations on climate change policy.

工会、协会年度气候变化遵从评估：战略可持续发展办公室每年需评估公司所参与的工会、协会是否支持《巴黎协定》的气候变化目标，并将评估报告交战略与可持续发展委员会审核。

Annual compliance assessment of trade unions and associations: The Strategic and Sustainable Development Office is responsible for annually assessing whether the trade unions and associations of which the company is a member support the climate objectives of the Paris Agreement. The assessment report will be submitted to the Strategic and Sustainable Development Committee for review.

向未遵从气候变化目标的工会、协会提出改进要求：根据年度评估报告，如发现某工会、协会未能遵从或支持《巴黎协定》目标，战略可持续发展办公室应在取得战略与可持续发展委员会同意后，正式书面通知该工会、协会要求其在规定期限内进行改进。

Request to union or association not complying with climate change objectives: After reviewing the annual assessment report, if a union or association is found not to comply with climate change objectives, the Strategic and Sustainable Development Office will formally notify the union or association in writing, with approval from the Strategic and Sustainable Development Committee. The union or association will then be requested to make specific improvements within a designated timeframe.

退出工会、协会会员资格：若某工会、协会持续未能改进年度评估报告中所列的未遵从或支持《巴黎协定》目标的情况，战略可持续发展办公室应提交退出会员资格的建议书，待

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战略与可持续发展委员会批准后，正式书面通知该协会，公司将退出其会员资格。

Withdrawal from union or association membership: If a union or association consistently fails to comply with or support the objectives of the Paris Agreement, as indicated in the annual assessment report, the Strategic and Sustainable Development Office will propose withdrawal from membership. Following approval from the Strategic and Sustainability Committee, we will formally notify the association in writing and proceed with withdrawal from our membership.

B. 战略与可持续发展委员会

Strategic and Sustainable Development Committee

审查战略可持续发展办公室提交的年度工会、协会气候变化遵从评估报告，并视需要向战略可持续发展办公室提供相关指示；

Review the annual compliance assessment of trade unions and associations submitted by the Strategic and Sustainable Development Office and provide instructions;

批准或驳回战略可持续发展办公室提交的工会、协会会员资格新申请；

Approve or reject new applications for union and association membership submitted by the Strategic and Sustainable Development Office;

批准或驳回战略可持续发展办公室提交的工会、协会会员资格退出建议。

Approve or reject proposals for withdrawal from union and association memberships submitted by the Strategic and Sustainable Development Office.

C. 董事会

The Board of Directors

审查战略与可持续发展委员会提交的工会、协会会员资格新申请，并予以批准或驳回；

Approve or reject new applications for union and association membership submitted by the Strategic and Sustainability Committee;

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审查战略与可持续发展委员会提交的工会、协会会员资格退出建议，并予以批准或驳回。
Approve or reject proposals for withdrawal from union and association memberships submitted by the Strategic and Sustainability Committee.

- **审核机制：**
- **Assessment Mechanism**

战略可持续发展办公室定期评估和审议各项公共政策及协会的公开政策，确保其政策与公司商业目标、企业公共政策的优先事项相符。

The Strategic and Sustainable Development Office regularly evaluates and reviews the public policies of associations to ensure alignment with our business objectives and our public policy priorities.

- **公共事务参与指南**
- **Guidelines for Participation in Public Affairs**

公司致力于在核心业务及可持续发展领域的相关事务中发挥作用，涵盖环境、社会及经济方面，包括产业经济发展、技术创新、气候变化、员工权益、人权及社会参与等领域。

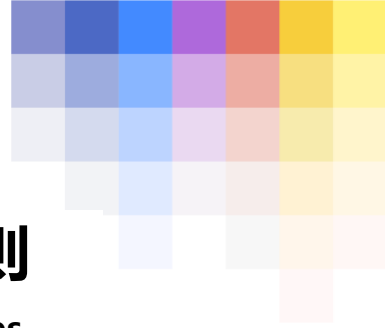
We are committed to playing a role in our core business and sustainable development across environmental, social, and economic domains. This includes industrial and economic development, technological innovation, climate change, labor rights, human rights, and social engagement.

- **产业工会及协会参与指南**
- **Guidelines for Participation in Industrial Trade Unions and Associations**

公司积极参与产业工会及商会的活动，通过这些活动与产业界保持紧密联系，关注公共议题。每年定期评估和审查参与团体的价值与公司目标或政策的契合度。

We actively participate in activities organized by industrial trade unions and chambers of commerce, fostering close ties with industry and engaging in public affairs. Annually, we evaluate and review the value of associations to ensure alignment with our objectives and policies.

在应对气候变化方面，公司遵循《巴黎协定》，并期望所参与产业工会及协会也能遵循



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该协定，共同努力将全球变暖控制在显著低于 2 摄氏度的水平。本公司将定期评估所参与的产业工会及协会在应对气候变化方面的表现，确保其符合《巴黎协定》。

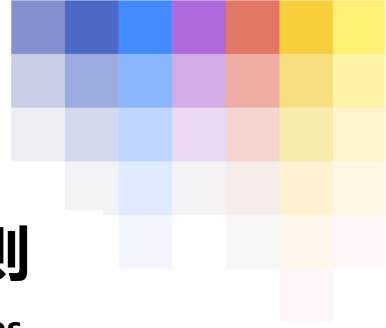
We comply with the Paris Agreement and expect the industrial trade unions and associations we join to do the same, collaborating to limit global warming to well below 2°C. We will regularly assess the performance of these unions and associations in addressing climate change to ensure their alignment with the Paris Agreement.

- 透明公开
- **Transparency and Openness**

东方日升一直坚持公开透明的原则。本准则可在东方日升的官网上查阅，参与公共事务的相关信息将在 ESG 报告中披露。

We adhere to the principles of openness and transparency. The guidelines are accessible on our website, and information concerning public affairs will be disclosed in our ESG (Environmental, Social, and Governance) report.





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- <附件>
- Annex
 - 东方日升参与的重要协会
 - Our Main Memberships

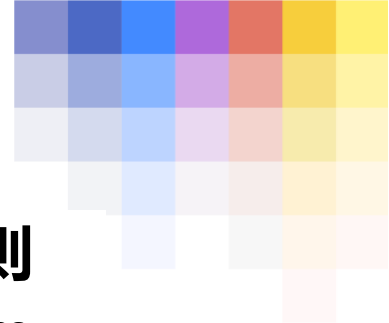
加入的协会 Association	政策立场 Stance	公司身份 Status of the Company	是否违反 巴黎协定 Non-compliance with the Paris Agreement?
ESA U.S.	认同气候风险, 通过更具弹性、高效、可持续和负担得起的电网以推动清洁能源行业发展。 Recognizing climate risks and promoting the clean energy industry through a more resilient, efficient, sustainable and affordable power grid.	Member	否 No
BSW Solar	认同气候风险, 推动太阳能的使用, 确保太阳能增长领域的投资安全, 推动将太阳能发展成为能源行业的支柱 Recognizing climate risks, promoting the use of solar energy, securing investments in solar growth areas and promoting the development of solar energy as a pillar of the energy industry	Member	否 No
E.P.I.A. SolarPower Europe AISBL	完全支持欧盟绿色协议的气候中和和欧洲净零经济目标, 并承诺到 2040 年建立 100%可再生、无	Corporate member	否 No



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	<p>化石能源系统。</p> <p>Fully supporting the EU Green Deal objective of Climate Neutrality and a Net Zero economy in Europe with a commitment to work toward a 100% renewable, fossil-free energy system, by 2040.</p>		
ITALIA SOLARE	<p>认同气候风险，加速推动脱碳经济体系实现。致力于通过可再生能源（尤其是太阳能光伏发电）的分布式发电，支持以智能和可持续的方式生产、存储、管理和分配能源，从而加强环境和人类健康保护。</p> <p>Recognizing climate risks, accelerating the path to a decarbonized economic system, and promoting environmental protection and human health by supporting intelligent and sustainable technologies for the production, storage, management and distribution of renewable energy.</p>	Industry member	否 No
全联新能源商会 China New Energy	<p>推动新能源适用，为新能源产业的规范与发展、为人类社会的可持续进步作出贡献。</p> <p>Promote the application of</p>	常务理事单位 Standing member	否 No



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Chamber of Commerce	new energy and contribute to the standardization and development of the new energy industry and the sustainable development of society.		
中国有色金属工业协会 China Nonferrous Metals Industry Association	建立和完善有色金属行业自律机制, 维护会员的合法权益, 促进我国有色金属工业的健康发展。 Establish and improve the self-regulatory mechanism of the non-ferrous metal industry, protect the legitimate rights and interests of members, and promote the healthy development of China's non-ferrous metal industry.	会员单位 Member	否 No
中国化学与物理电源协会 China Industrial Association of Power Sources	在“平等、互利”的基础上, 与国外各相关机构开展技术交流与合作, 促进中国电池产业健康快速发展。 On the principle of "equality and mutual benefit", carrying out technical exchanges and cooperation with foreign organizations to promote the healthy and rapid development of China's battery industry.	副理事长 Vice president	否 No



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<p>中关村储能产业技术联盟 China Energy Storage Alliance</p>	<p>应用推广储能技术，促进产业的健康有序可持续发展。 Applying and promoting energy storage technology to promote the healthy and sustainable development of the industry.</p>	<p>理事 Director</p>	<p>否 No</p>
<p>中国质量协会 China Association for Quality</p>	<p>依托协会深厚的学术背景和开阔的国际视野，传播先进的质量理念和质量技术方法，帮助企业提升质量管理水平和人员素质，进而提升经营绩效。 Relying on the association's profound academic background and broad international vision, it spreads advanced quality concepts and quality technology methods to help corporates improve quality management level and personnel quality, and then enhance their business performance.</p>	<p>会员单位 Member</p>	<p>否 No</p>